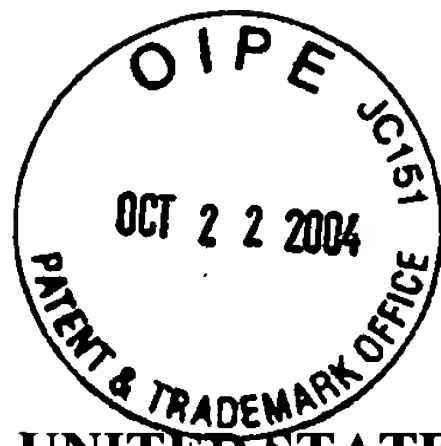


S/N 10/634,174



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Leonard Forbes	Examiner:	Joannie A. Garcia
Serial No.:	10/634,174	Group Art Unit:	2823
Filed:	August 05, 2003	Docket:	1303.102US1
Title:	STRAINED Si/SiGe/SOI ISLANDS AND PROCESSES OF MAKING SAME		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

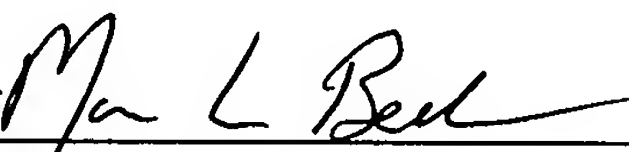
Respectfully submitted,

LEONARD FORBES

By his Representatives,

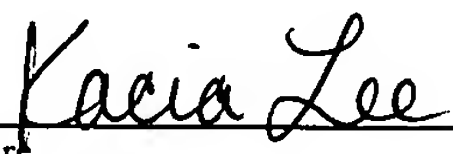
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6960

Date 10-19-04

By 
Marvin L. Beekman
Reg. No. 38,377

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20 day of October, 2004.

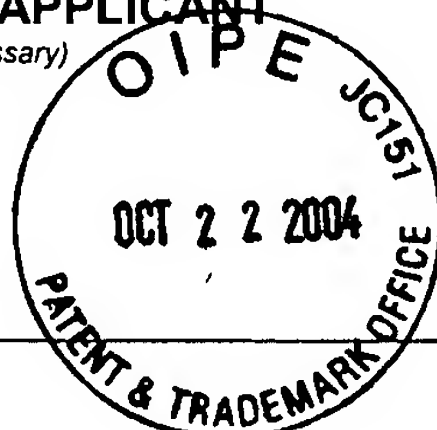
Name KACIA LEE


Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/634,174
Filing Date	August 5, 2003
First Named Inventor	Forbes, Leonard
Group Art Unit	2823
Examiner Name	Garcia, Joannie

Sheet 1 of 5

Attorney Docket No: 1303.102US1

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	US-2002/0048968	04/25/2002	Ahn, Kie Y.	438	778	07/20/2001
	US-2002/0070419	06/13/2002	Farrar, Paul A., et al.	257	503	08/29/2001
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	US-2002/0158322	10/31/2002	Farrar, Paul A.	257	678	06/27/2002
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	US-2003/0193088	10/16/2003	Hall, Frank L.	257	713	04/15/2002
	US-2003/0197234	10/23/2003	Farrar, Paul A.	257	424	05/08/2003
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EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/634,174
	Filing Date	August 5, 2003
	First Named Inventor	Forbes, Leonard
	Group Art Unit	2823
	Examiner Name	Garcia, Joannie
Sheet 2 of 5	Attorney Docket No: 1303.102US1	

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	US-6,444,534	09/03/2002	Maszara, Witold P.	438	311	01/30/2001
	US-6,452,713	09/17/2002	White, Christopher A.	359	322	12/29/2000
	US-6,461,933	10/08/2002	Houston, T. W.	438	423	11/08/2001
	US-6,469,532	10/22/2002	Akram, Salman , et al.	324	755	02/06/2002
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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	Application Number	10/634,174
	Filing Date	August 5, 2003
	First Named Inventor	Forbes, Leonard
	Group Art Unit	2823
	Examiner Name	Garcia, Joannie
Sheet 3 of 5	Attorney Docket No: 1303.102US1	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER

DATE CONSIDERED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/634,174
	Filing Date	August 5, 2003
	First Named Inventor	Forbes, Leonard
	Group Art Unit	2823
	Examiner Name	Garcia, Joannie
Sheet 4 of 5	Attorney Docket No: 1303.102US1	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER

DATE CONSIDERED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	Application Number	10/634,174
	Filing Date	August 5, 2003
	First Named Inventor	Forbes, Leonard
	Group Art Unit	2823
	Examiner Name	Garcia, Joannie
Sheet 5 of 5	Attorney Docket No: 1303.102US1	

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EXAMINER

DATE CONSIDERED

S/N 10/634,174

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonard Forbes

Examiner: Joannie A. Garcia

Serial No.: 10/634,174

Group Art Unit: 2823

Filed: August 5, 2003

Docket: 1303.102US1

Title: STRAINED SI/SIGE/SOI ISLANDS AND PROCESSES OF MAKING SAME

COMMUNICATION CONCERNING RELATED APPLICATION(S)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
10/379749	March 5, 2003	1303.089US1	MICRO-MECHANICALLY STRAINED SEMICONDUCTOR FILM
10/425797	April 29, 2003	1303.093US1	LOCALIZED STRAINED SEMICONDUCTOR ON INSULATOR
10/431134	May 7, 2003	1303.094US1	STRAINED Si/SiGe STRUCTURES BY ION IMPLANTATION
10/425484	April 29, 2003	1303.095US1	STRAINED SEMICONDUCTOR BY WAFER BONDING WITH MISORIENTATION
10/443355	May 21, 2003	1303.098US1	SILICON OXYCARBIDE SUBSTRATES FOR BONDED SILICON ON INSULATOR
10/443340	May 21, 2003	1303.099US1	ULTRA-THIN SEMICONDUCTORS BONDED ON GLASS SUBSTRATES
10/431137	May 7, 2003	1303.100US1	MICROMECHANICAL STRAINED SEMICONDUCTOR BY WAFER BONDING

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/634,174

Filing Date: August 5, 2003

Title: STRAINED SI/SIGE/SOI ISLANDS AND PROCESSES OF MAKING SAME

Page 2

Dkt.: 1303.102US1

10/443337	May 21, 2003	1303.103US1	GETTERING OF SILICON ON INSULATOR USING RELAXED SILICON GERMANIUM EPITAXIAL PROXIMITY LAYERS
10/443339	May 21, 2003	1303.104US1	WAFER GETTERING USING RELAXED SILICON GERMANIUM EPITAXIAL PROXIMITY LAYERS
10/623794	July 21, 2003	1303.108US1	GETTERING USING VOIDS FORMED BY SURFACE TRANSFORMATION
10/623788	July 21, 2003	1303.109US1	STRAINED SEMICONDUCTOR BY FULL WAFER BONDING
10/164611	June 10, 2002		OUTPUT PREDICTION LOGIC CIRCUITS WITH ULTRA-THIN VERTICAL TRANSISTORS AND METHODS OF FORMATION
10/931554	August 31, 2004	1303.093US2	LOCALIZED STRAINED SEMICONDUCTOR ON INSULATOR
10/931749	August 31, 2004	1303.095US2	STRAINED SEMICONDUCTOR BY WAFER BONDING WITH MISORIENTATION
10/931344	August 31, 2004	1303.108US2	GETTERING USING VOIDS FORMED BY SURFACE TRANSFORMATION
10/931553	August 31, 2004	1303.099US2	ULTRA-THIN SEMICONDUCTORS BONDED ON GLASS SUBSTRATES
10/931580	August 31, 2004	1303.100US2	MICROMECHANICAL STRAINED SEMICONDUCTOR BY WAFER BONDING

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/634,174

Filing Date: August 5, 2003

Title: STRAINED SI/SIGE/SOI ISLANDS AND PROCESSES OF MAKING SAME

Page 3

Dkt: 1303.102US1

Respectfully submitted,

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Date 10-19-04

By Marvin L. Beekman

Marvin L. Beekman

Reg. No. 38,377

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20 day of October, 2004.

Name KACIA LEE

Signature Kacia Lee